



Sheet 01 of 03

Form PTO-1449 Modified
List of Patents and Publications
Cited by Applicant
(Use several sheets if necessary)
U.S. Department of Commerce

| | |
|----------------------------------|--------------------------|
| Docket No. DEX-0291 | Serial No. 09/989,920 |
| Applicant Macina et al. | |
| Filing Date November 21, 2001 | Group 1642 |

U. S. PATENT DOCUMENTS

| Examiner Initial | Document No. | Date | Name | Class | Subclass |
|------------------|--------------|------|-------------|-------|----------|
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FOREIGN PATENT DOCUMENTS

| Examiner Initial | Document No. | Date | Country | Translation YES | NO |
|------------------|-----------------|---------|---------|-----------------|----|
| CA | WO 01/72781 A2 | 4-10-01 | PCT | x | |
| CB | WO 01/60860 A2 | 23-8-01 | PCT | x | |
| CC | WO 02/068633 A2 | 6-9-02 | PCT | x | |
| CD | WO 02/064611 A1 | 22-8-02 | PCT | x | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
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| | DA | Mariño et al., "Human Autophagins, a Family of Cysteine Proteinases Potentially Implicated in Cell Degradation by Autophagy", J. Biol. Chem. 2003 278(6):3671-3678 |
| | DB | Strausberg et al., "Generation and initial analysis of more than 15,000 full-length human and mouse cDNA sequences", Proc. Natl. Acad. Sci. USA 2002 99(26):16899-16903 |
| | DC | The Sanger Centre and The Washington University Genome Sequencing Center, "Toward a Complete Human Genome Sequence", Genome Research 1998 8:1097-1108 |
| | DD | NCBI Genbank Accession No. P27658 [gi:115316] 1 August 1992 - 15 June 2002 with Revision History - The Revision History of 21903375 which replaces 115316 is provided |
| | DE | NCBI Genbank Accession No. P14282 [gi:115317] 1 August 1992 - 15 September 2003 with Revision History |
| | DF | NCBI Genbank Accession No. NM_178271 [gi:30795249] 16 May 2003 with Revision History |
| | DG | NCBI Genbank Accession No. NM_178270 [gi:30795247] 16 May 2003 with Revision History |
| | DH | NCBI Genbank Accession No. NM_052936 [gi:16418416] 25 October 2001 - 20 October 2002 with Revision History The Revision History of 30795251 which replaces 16418416 is provided |
| | DI | NCBI Genbank Accession No. AC079988 [gi:10198585] 20 September 2000 - 3 January 2002 with Revision History The Revision History of 18873965 which replaces 10198585 is provided |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | |
| | DJ | NCBI Genbank Accession No. BC020554 [gi:18088242] 8 January 2002 with Revision History | |
| | DK | NCBI Genbank Accession No. AK086294 [gi:26103373] 5 December 2002 with Revision History | |
| | DL | NCBI Genbank Accession No. BC041862 [gi:27693678] 13 January 2003 with Revision History | |
| | DM | NCBI Genbank Accession No. P25940 [gi:115372] 1 August 1992 - 15 September 2003 with Revision History The Revision History of 34223720 which replaces 115372 is provided | |
| | DN | NCBI Genbank Accession No. Q00780 [gi:1168723] 1 November 1995 - 15 June 2002 with Revision History - The Revision History of 21903383 which replaces 1168723 is provided | |
| | DO | NCBI Genbank Accession No. Q02388 [gi:543916] 1 June 1994 - 1 March 2002 with Revision History - The Revision History of 1345650 which replaces 543916 is provided | |
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| List of Patents and Publications Cited by Applicant (Use several sheets if necessary) | | Applicant Macina et al. | |
| U.S. Department of Commerce | | Filing Date November 21, 2001 | Group 1645 |
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | |
| | AA | Database accession no. BE156557 XP002204032 99.3% identity (100% ungapped) in 309 nt overlap (338-31:20-327) with SEQ ID NO:1.abstract | |
| | AB | DATABASE EMBL 16 February 2000 (2000-02-16) PHILLIMORE, B.: "Human DNA sequence from clone RP11-427L15 on chromosome 10" retrieved from EBI Database accession no. AL139407 XP002204033 99.3% identity (100% ungapped) in 309 nt overlap (338-31:11807-12114) with SEQ ID NO:1. abstract | |
| | AC | Emanuel Diaz Neto et al., "Shotgun sequencing of the human transcriptome with ORF expressed sequence tags", PNAS 2000 97(7):3491-3496 | |
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| <p style="text-align: center;">List of Patents and Publications Cited by Applicant (Use several sheets if necessary)</p> | | |
| <p style="text-align: center;">U.S. Department of Commerce Patent and Trademark Office</p> | | |
| <p style="text-align: center;">Applicant Macina et al.</p> | | |
| <p style="text-align: center;">Filing Date November 21, 2001</p> | | <p style="text-align: center;">Group 1645</p> |

U. S. PATENT DOCUMENTS

~~FOREIGN PATENT DOCUMENTS~~

| Examiner Initial | Document No. | Date | Country | Translation | |
|------------------|---------------|----------|---------|-------------|----|
| | | | | YES | NO |
| BA | WO 01 22920A2 | 5-4-01 | PCT | x | |
| BB | WO 00/08206 | 17-2-00 | PCT | x | |
| BC | WO 99/60160 | 25-11-99 | PCT | x | |
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